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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/541,097
Filing Date	June 10, 2004
First Named Inventor	Carl R. VanderSchuit
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	9053V-000004/US/NPB

Total Number of Pages in This Submission

### ENCLOSURES (check all that apply)

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) (1 sheet)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input checked="" type="checkbox"/> Declaration and Power of Attorney<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><b>Response to Notification of Missing Requirements Under 35 U.S.C. 371</b><br><b>Return Postcard</b><br><b>Check - \$65</b> |
|--|--|---|

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name	Anthony G. Fussner	Reg. No.	47,582
Signature					
Date	January 10, 2006				

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Anthony G. Fussner	Express Mail Label No.	EV 639015342 US
Signature		Date	January 10, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: CARL R. VANDERSCHUIT : Group Art Unit: Unknown

Serial No.: 10/541,097 : Examiner: Unknown

International Filing Date: June 10, 2004 :

For: LIGHTING DEVICE :

Mail Stop MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE  
UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Sir:

In response to the Notification of Missing Requirements, Filing Date Granted, dated December 14, 2005, a copy of which is attached, enclosed please find the following:

1. Signed Combined Declaration and Power of Attorney (3 pages);
2. Copy of Notification of Missing Requirements Under 35 U.S.C. 371 (2 pages);
3. A check in the amount of \$65.00 for filing the declaration after thirty months from priority date.

Please file said Missing Requirements to the application.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 08-0750 for any additional fees, including extension of time fees.

Respectfully submitted,

HARNES, DICKEY & PIERCE, P.L.C.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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9053V-000004/US/NPB

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/541,097	Carl R VanderSchuit	9053V-000004/US/NPB

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RECEIVED  
 DEC 19 2005

HARNES, DICKEY & PIERCE  
 ST. LOUIS, MISSOURI

INTERNATIONAL APPLICATION NO.
PCT/US04/18799
I.A. FILING DATE
PRIORITY DATE
06/10/2004
06/25/2003

CONFIRMATION NO. 4259  
 371 FORMALITIES LETTER



\*OC000000017649675\*

Date Mailed: 12/14/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 06/28/2005
- Copy of the International Search Report filed on 06/28/2005
- Copy of IPE Report filed on 06/28/2005
- Preliminary Amendments filed on 06/28/2005
- Information Disclosure Statements filed on 06/28/2005
- Small Entity Statement filed on 06/28/2005
- Request for Immediate Examination filed on 06/28/2005
- U.S. Basic National Fees filed on 06/28/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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*A copy of this notice **MUST** be returned with the response.*

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/541,097	PCT/US04/18799	9053V-000004/US/NPB

FORM PCT/DO/EO/905 (371 Formalities Notice)